



PLAN S AND ITS IMPLICATIONS FOR JOURNALS

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PUBLISHING FUNDING PROVISION

Launched in September 2019

Goal: Make Open Access immediate and encourage authors to retain ownership of their rights

Frustrated at the time it was taking for publishers' business models to change so more authors could share their work on publication, [funders](#) who make up cOAlition S resolved to no longer pay for OA in hybrid journals...

...with two exceptions:

Journals in Transformative Agreements

Transitional Journals

PRESENTATION TITLE



Transformative Agreements

Jisc are working on behalf of the UK HEI sector to negotiate **Transformative Agreements** that shift costs from paying to access articles to paying to publish OA. For institutions signed up to these agreements, they have assurance they can use funds they receive from UKRI, Wellcome and others to make authors' work OA

We also manage The Jisc-Approved **Transformative Journal List** – journals on this list all meet the criteria of cOAlition S criteria

<https://v2.sherpa.ac.uk/romeo/tjlists.html>

<https://www.coalition-s.org/addendum-to-the-coalition-s-guidance-on-the-implementation-of-plan-s/>

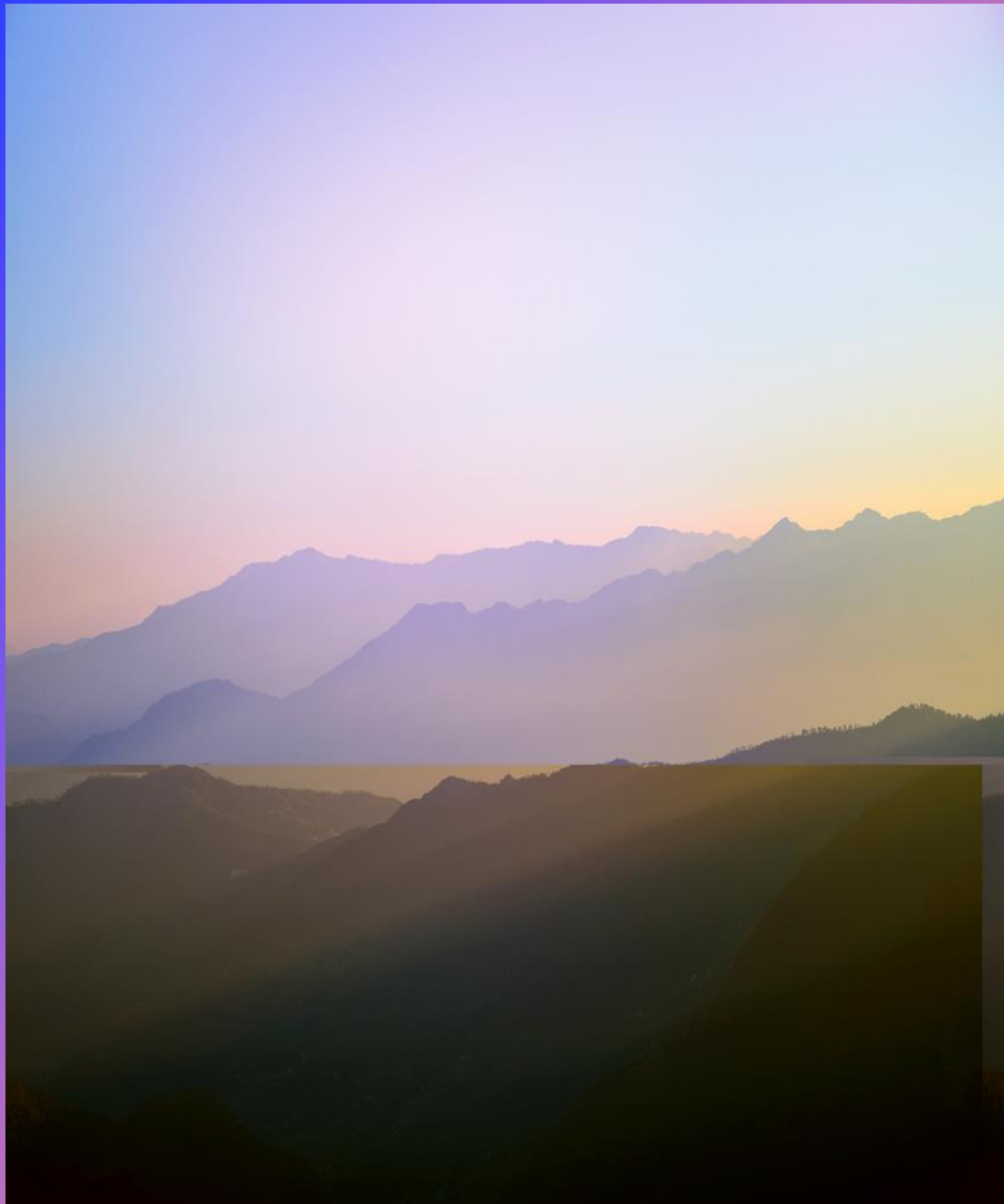


RIGHTS RETENTION STRATEGY

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 - Authors funded by cOAlition S are **now required to inform publishers at the point of submission** that they wish to exercise this right with some set wording:
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All submissions of original research to peer-reviewed journals must contain the following statement:

" This research was funded in whole or in part by the Wellcome Trust [Grant number]. For the purpose of Open Access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript (AAM) version arising from this submission."



UKRI's Open Access Policy came into effect in April of this year, following a substantial review

It supported the ambition to ensure all work is OA on publication with 2 routes

UKRI OA Policy: Routes to OA⁺

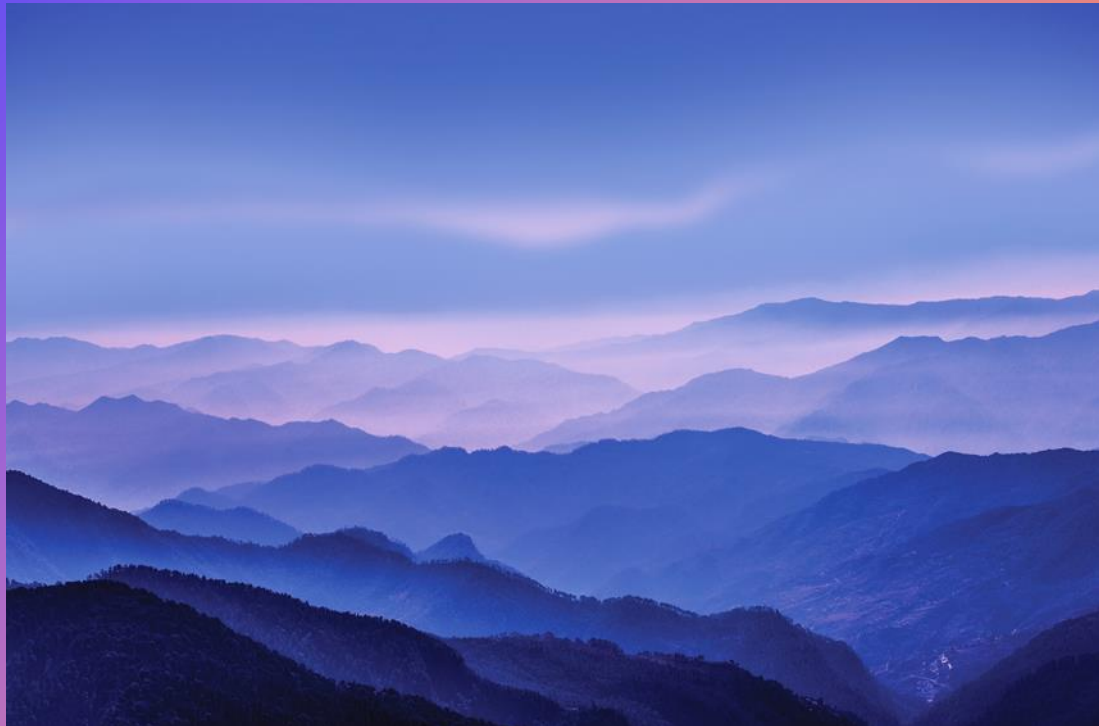
Route 1

- Publish the research article open access in a journal which makes the Version of Record **immediately open access via its website.**
- The Version of Record must be free and unrestricted to view and download. It must have a Creative Commons Attribution (CC BY) licence

Route 2

- Allow authors to deposit AAMs/VoRs **on a repository**
- No embargoes permitted
- Work must have a Creative Commons Attribution (CC BY) licence applied

<https://www.ukri.org/publications/ukri-open-access-policy/>



Route 2 and RRS

UKRI's Route 2 sets out to achieve the same goal as the RRS in Plan S

Unlike with the RRS, where authors are in dialogue with publishers, for Route 2, Jisc have negotiated on the sectors' behalf to have set wording added to publishers' OA webpages:

'Authors retain the right to distribute their author accepted manuscript (AAM)/Version of Record VoR (delete as appropriate) via an institutional and/or subject repository (e.g. EuropePMC), under a Creative Commons Attribution 4.0 International (CC BY 4.0) licence for release no later than the date of first online publication.'

Results

Concerted efforts from a range of stakeholders, including policymakers, authors, negotiators, librarians and research professionals

- Institutions have incorporated the RRS into their OA policies, providing support to authors as they liaise with publishers
- Publishers are pre-empting authors' concerns with making their research available, making it clear that AAMs can be shared and built on
- Authors are retaining their rights to freely share their work as soon as it is published, helping them expand their reach and impact
- As of November, 91% of all UKRI-funded articles (2017-2021), and 88.8% of all journals are now compliant with UKRI's policy and eligible for UKRI open access funds.
- Journals are now significantly more likely to allow for the immediate circulation of articles, on their websites or in repositories, with more generous licencing terms than would have been the case without policy shifts from Plan S and UKRI



PRESENTATION TITLE

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THANK YOU

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<https://www.jisc.ac.uk/open-research/our-role-in-open-access>

Academy of Science of South Africa (ASSAf)

ASSAf Research Repository

<http://research.assaf.org.za/>

B. Academy of Science of South Africa (ASSAf) Events

I. Other

2022-11

Annual National Scholarly Editors' Forum (NSEF) Meeting

Academy of Science of South Africa (ASSAf)

Academy of Science of South Africa (ASSAf)

<http://hdl.handle.net/20.500.11911/259>

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